

11/08/01

JCS25 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-10127

First Inventor Mark Voll

Title WELL WATER FILTRATION APPARATUS AND SYSTEM

Express Mail Label No. EL 818715591 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Registration No. (Attorney/Agent) 32,973

Signature

Date

11/8/01

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<b>FEE TRANSMITTAL</b> <b>for FY 2000</b> <small>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</small>		<b>Complete if Known</b>	
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>412.00</b>		Application Number	
		Filing Date	
		First Named Inventor	Mark Voll
		Examiner Name	
		Group / Art Unit	
		Attorney Docket No.	01-10127

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																							
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number: <b>03-2030</b>  Deposit Account Name: <b>Cislo &amp; Thomas LLP</b>  <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. <b>ADDITIONAL FEES</b>																																							
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1. <b>BASIC FILING FEE</b>																																									
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		146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a)) 0.00																																							
		149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b)) 0.00																																							
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		<b>SUBTOTAL (3)</b> (\$) <b>0.00</b>																																							

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Daniel M. Cislo	Registration No. (Attorney/Agent)	32,973
Signature		Telephone	1-310-451-0647
		Date	11/9/01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:  
**VOLL, Mark**

Serial Number:

Filed:

For: **WELL WATER FILTRATION APPARATUS AND SYSTEM**

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Express Mailing Label No. **EL 818715591 US**  
Deposited: November **8<sup>th</sup>**, 2001

Enclosed herewith are the following:

1. Patent Application Transmittal Letter (1 page);
2. Fee Transmittal Form (1 page);
3. Check in the amount of \$412.00 for filing fees;
4. Patent Application (16 specification pages and 3 drawing pages, for a total of 19 pages;
5. Declaration and Power of Attorney for Patent Application (3 pages);
6. Nonpublication Request Under 37 C.F.R. § 1.213(a);
7. Assertion of Small Entity Status;
8. Information Disclosure Statement (2 pages) with enclosed Information Disclosure Citation (Form PTO-1449, 2 pages);
9. Copies of 20 patent references; and
10. Acknowledgement Postcard.

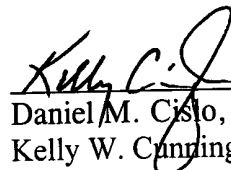
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R., Section 1.10, on the date indicated above and is addressed to:

**Assistant Commissioner for Patents  
The Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
BOX PATENT APPLICATION**

Respectfully submitted,

CISLO & THOMAS LLP

Date: November 8<sup>th</sup>, 2001

  
Daniel M. Cislo, Reg. No. 32,973  
Kelly W. Cunningham, Reg. No. 43,570

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«INITIALS/initials»

**Enclosures**

As listed above

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